

D 110003

(Pages : 2)

Name.....

Reg. No.....

FIFTH SEMESTER (CBCSS-UG) DEGREE EXAMINATION, NOVEMBER 2024

B.Com.

BCM 5B 10—FINANCE SPECIALIZATION I – FINANCIAL MARKETS AND SERVICES

(2019 Admission onwards)

Time : Two Hours and a Half

Maximum : 80 Marks

Part A

*Answer all questions.
Each question carries 2 marks.
(ceiling 25 marks).*

1. What is capital market ?
2. What is money market ?
3. What are the features participation certificates ?
4. What is underwriting ?
5. What are CDs ?
6. What are the methods to raise money by a company ?
7. What is GDR ?
8. What is book building ?
9. What is EXIM bank ?
10. What are secondary markets ?
11. What are derivatives ?
12. What are arbitrageurs ?
13. What are options ?
14. What are venture capital ?
15. What are factoring ?

(15 × 2 = 30 ; ceiling 25 marks)

Turn over

Part B

*Answer all questions.
Each question carries 5 marks.
(ceiling 35 marks)*

16. What are the weakness of Indian financial system ?
17. What are the features developed money market ?
18. What are the advantages of Treasury bill market ?
19. What is the importance of capital market ?
20. What is the functions of merchant banks in issue management ?
21. Give a note on NABARD ?
22. Give a note on NSE.
23. What are the advantages of mutual funds ?

(8 × 5 = 40 ; ceiling 35 marks)

Part C

*Answer any two questions.
Each question carries 10 marks.*

24. Explain role and functions of financial system in economic development?
25. Explain the Indian money market instruments and state the defects of Indian money market?
26. Mutual funds pool money from investors. Elucidate with features and defects
27. Explain RBI with its functions and role.

(2 × 10 = 20 marks)

D110003-A

(Pages : 4)

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(2019 Admission onwards)

(Multiple Choice Questions for SDE Candidates)

Time : 15 Minutes**Total No. of Questions : 20****Maximum : 20 Marks****INSTRUCTIONS TO THE CANDIDATE**

1. This Question Paper carries Multiple Choice Questions from 1 to 20.
2. The candidate should check that the question paper supplied to him/her contains all the 20 questions in serial order.
3. Each question is provided with choices (A), (B), (C) and (D) having one correct answer. Choose the correct answer and enter it in the main answer-book.
4. The MCQ question paper will be supplied after the completion of the descriptive examination.

BCM 5B 10—FINANCE SPECIALIZATION I – FINANCIAL MARKETS AND SERVICES

(Multiple Choice Questions for SDE Candidates)

1. Bonds, bearer debenture, government securities are the example for :
 - (A) Non marketable asset.
 - (B) Marketable asset.
 - (C) Primary asset.
 - (D) Secondary asset.
2. Market for short term funds usually for one year is called :
 - (A) Capital market.
 - (B) Money market.
 - (C) Primary market.
 - (D) Secondary market.
3. Those persons who do not accept deposit from the public, but merely lend their own funds :
 - (A) Bankers.
 - (B) Borrowers.
 - (C) Agents.
 - (D) Money lenders.
4. FERA was passed by the Govt of India in :
 - (A) 1947.
 - (B) 1946.
 - (C) 1945.
 - (D) 1948.
5. Banking regulation act was passed in :
 - (A) 1939.
 - (B) 1949.
 - (C) 1945.
 - (D) 1935.
6. In MMMFS the second and third 'M' consist of :
 - (A) Money market.
 - (B) Market mutual.
 - (C) Mutual market.
 - (D) Money mutual.
7. The markets which generally confined to the stock exchanges :
 - (A) Primary market.
 - (B) Secondary market.
 - (C) Tertiary market.
 - (D) All of these.

8. _____ is an equity linked debt security.
- (A) ADR. (B) GDR.
(C) Euro issues. (D) FCCB.
9. _____ pool resources from those who save and allocate them to various investment opportunities.
- (A) Mutual funds. (B) LIC.
(C) Banks. (D) RBI.
10. FDI stands for :
- (A) Foreign Development Institution. (B) Foreign Direct Investment.
(C) Financial Direct Investment. (D) Foreign Development Investment.
11. _____ is a dollar denominated form of equity ownership.
- (A) ADR. (B) GDR.
(C) SDR. (D) USD.
12. Identify public sector undertaking :
- (A) United India insurance. (B) HDFC life.
(C) ICICI prudential. (D) Bharti Axa.
13. A) BSE was the first to offer screen based trading all over India B) nse is the leading and the oldest stock exchange in India as well as in Asia.
- (A) A is true B is false. (B) B is true A is false.
(C) Both are true. (D) Both are false.
14. BSE index is also called :
- (A) SENSEX. (B) NIFTY.
(C) NASDAQ. (D) None of these.
15. _____ refers to a fixed number of units of the underlying asset that form part of a single F and O contract.
- (A) Forward. (B) Lot size.
(C) Lottery. (D) Future.

Turn over

16. _____ is a receipt for a deposit of money with a bank or a financial institution.
- (A) CD'S. (B) CP'S.
(C) TB'S. (D) PC'S.
17. Failure of the opposite party to deliver the promised security :
- (A) Interest rate risk. (B) Default risk.
(C) Counter party risk. (D) Price risk.
18. Income tax act was enacted in :
- (A) 1861. (B) 1961.
(C) 1971. (D) 1981.
19. The authorised share capital of IFCI is Rs :
- (A) 50 crore. (B) 40 crore.
(C) 30 crore. (D) 20 crore.
20. For digitalization of SHG (self help groups) NABARD introduce :
- (A) E SEVA. (B) E SHAKTI.
(C) E GOVERN. (D) E KISAN.