

C 3555

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Name.....

Reg. No.....

FOURTH SEMESTER M.Com. DEGREE EXAMINATION, JUNE 2016

CUCSS)

MC4E (FM) 01-FINANCIAL MARKETS AND INSTITUTIONS

(2010 Admission onwards)

Time : Three Hours

Maximum : 36 Weightage

Part A

Answer all questions.

Each question carries a weightage of 1.

1. Define stock market.
2. What do you mean by fast track issue ?
3. Name the two important depositories in India.
4. Distinguish between Gilt funds and Liquid funds.
5. What is reverse repo ?
6. What are the prerequisites for the listing ?

(6 x 1 = 6 weightage)

Part B

*Answer any **six** questions.*

Each question carries a weightage of 3.

7. Explain the regulatory framework for **NRI** investments in India.
8. What is insider trading ? What are the steps taken by **SEBI** to prevent it ?
9. What are the matters to be stated in a life insurance policy ?
10. Explain the responsibilities of merchant bankers in issue management.
11. Examine the different types of term lending given by commercial banks to industries in Kerala.
12. What is gold **ETF** ? Are there any differences between gold **ETF** and **ETF** ?
13. "Without adequate credit information the investor cannot carry out his investment programme." Elucidate.
14. Describe the reasons for companies preferring private placement of the most appealing method of floatation of securities.

(6 x 3 = 18 weightage)

Turn over

Part C

Answer any two questions.

Each question carries a weightage of 6.

15. How do you mutual funds deploy their money ? What are the regulations regarding the investment of mutual funds ?
16. How do foreign capital investment differ from domestic capital investment ? Explain.
17. Discuss the role of **SEBI** in primary market.

(2 x 6 = 12 weightage)